

# Surfing a (Dark) Gravitational Wave

Cláudio Gomes

University of the Azores  
CF-UM-UP  
M3.2.DOCPROF/F/008/2020

claudio.gomes@fc.up.pt



GOVERNO  
DOS AÇORES



FRCT

FUNDO REGIONAL PARA A CIÊNCIA E TECNOLOGIA

# Outline

---

- Tachyonic Crash Course on Gravitational Waves (GWs) and gravity theories beyond General Relativity (GR)
- The non-minimal coupling between matter and curvature (NMC)
- GWs in NMC theories

# General Relativity

---

Einstein-Hilbert action:

$$S = \int [\kappa R + \mathcal{L}] \sqrt{-g} d^4x \quad , \quad (1)$$

where  $\kappa = M_P^2/2$ ,  $R$  is the Ricci scalar curvature, and  $\mathcal{L}$  is the matter Lagrangian density. Variation relatively to the metric  $g_{\mu\nu}$  yields the field equations:

$$R_{\mu\nu} - \frac{1}{2}g_{\mu\nu}R = \frac{8\pi G}{c^4} T_{\mu\nu} \quad , \quad (2)$$

where  $R_{\mu\nu}$  is the Ricci tensor, and  $T_{\mu\nu}$  is the energy-momentum tensor built from  $\mathcal{L}$ .

"Spacetime tells matter how to move  
Matter tells spacetime how to curve" (Wheeler)

## What is a Gravitational Wave?

---

Solution of linearised Einstein's equations (although they exist at full nonlinear theory):

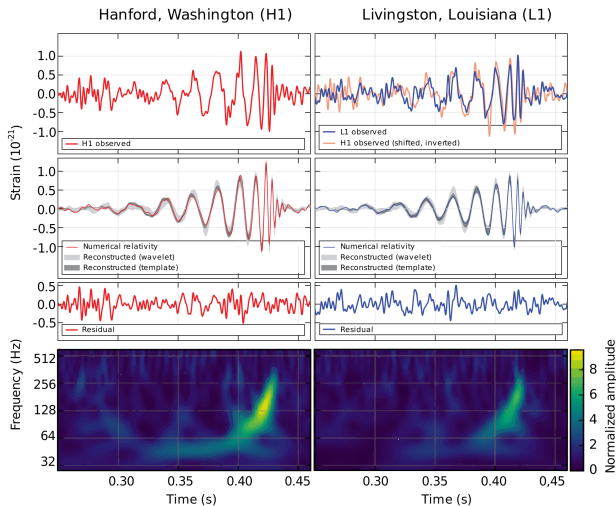
$$g_{\mu\nu} = \eta_{\mu\nu} + h_{\mu\nu} \rightarrow \square \left( h_{\mu\nu} - \frac{1}{2} \eta_{\mu\nu} h \right) = -\frac{8\pi G}{c^4} T_{\mu\nu}^{(0)}, \quad (3)$$

with  $h = h_{\mu}^{\mu}$ . In vacuum  $T_{\mu\nu} = 0$ .

### Sources:

- Black Holes, Neutron Stars, and White Dwarfs binaries;
- Some inflationary models;
- ...

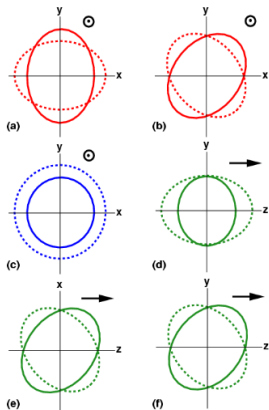
# Observation of GWs



# Polarisation modes of GWs

A metric theory may present up to six polarisation states [Eardly et al., 1973]

## Gravitational-Wave Polarization



Two tensor modes: + and  $\times$  polarisations;

Two scalar modes: breathing and longitudinal modes;

Two vector modes.

## GWs in the presence of matter fields

---

In GR (and theories where only the gravitational sector is modified) we can extend the analysis by resorting to Green functions' method.

Other approaches:

- the Campbell-Morgan formalism of GR (2 polarisation modes) [Ingraham,1997];
- semiclassical theory of electromagnetic response analogue (modified dispersion relation); [Cetoli,Pethick,2011];
- Cyclotron damping and Faraday rotation in collisionless magnetised plasmas [Gali et al 1983, Servin et al 2001];
- presence of a cosmological constant (field equations lose their residual gauge freedom)[Bernabeu et al 2011, Ashtekar et al 2015].

# Why not GR?

---

## Successes:

- Solar System constraints;
- GPS ...

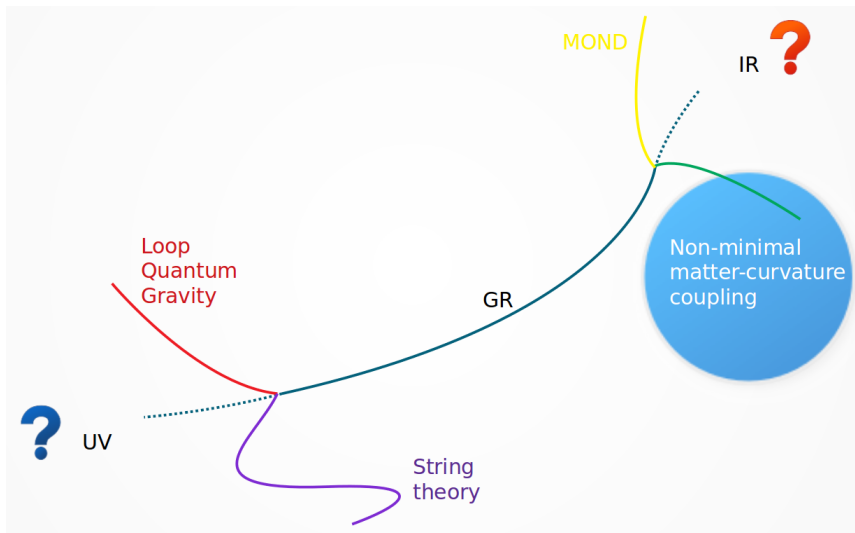
## But there were still some conundrums:

- Large scale data requires DM and DE;
- It lacks a consistent high energy version.

## Alternative theories of gravity:

- $f(R)$
- Horndeski gravity;
- Jordan-Brans-Dicke;
- NMC [Bertolami, Böhmer, Harko, Lobo 2007]...





[Gomes, PhD thesis]

[Bertolami, What if ... General Relativity is not the theory?,  
2011]

The non-minimal coupling between matter and curvature (NMC) [Bertolami, Böhmer, Harko, Lobo 2007]

---

$$S = \int [\kappa f_1(R) + f_2(R) \mathcal{L}] \sqrt{-g} d^4x \quad , \quad (4)$$

where  $\kappa = M_p^2/2$ .

Varying the action relatively to the metric  $g_{\mu\nu}$ :

$$2(\kappa F_1 - F_2 \rho) \left( R_{\mu\nu} - \frac{1}{2} g_{\mu\nu} R \right) = f_2 T_{\mu\nu} + \kappa (f_1 - F_1 R) g_{\mu\nu} + \\ + F_2 \rho R g_{\mu\nu} + 2 \Delta_{\mu\nu} (\kappa F_1 - F_2 \rho) \quad (5)$$

where  $F_i \equiv df_i/dR$ , and  $\Delta_{\mu\nu} \equiv \nabla_\mu \nabla_\nu - g_{\mu\nu} \square$ .

One recovers GR by setting  $f_1(R) = R$  and  $f_2(R) = 1$ .

Using the Bianchi identities, one finds the covariant non-conservation of the energy-momentum tensor:

$$\nabla_{\mu} T^{\mu\nu} = \frac{F_2}{f_2} (g^{\mu\nu} \mathcal{L} - T^{\mu\nu}) \nabla_{\mu} R \quad (6)$$

For a perfect fluid, the extra force due to the NMC can be expressed as:

$$f^{\mu} = \frac{1}{\rho + p} \left[ \frac{F_2}{f_2} (\mathcal{L} - p) \nabla_{\nu} R + \nabla_{\nu} p \right] h^{\mu\nu}, \quad (7)$$

with  $h^{\mu\nu} = g^{\mu\nu} + u^{\mu} u^{\nu}$  being the projection operator, and  $u^{\mu}$  is the 4-velocity of the fluid.

Degeneracy-lifting of the Lagrangian choice [O. Bertolami, F. S. N. Lobo, J. Páramos, 2008]

Mimicking Dark Matter (galaxies, clusters) [O. Bertolami, J. Páramos, 2010; O. Bertolami, P. Frazão, J. Páramos, 2013]

Cosmological Perturbations [O. Bertolami, P. Frazão, J. Páramos, 2013]

Modified Layzer-Irvine equation and virial theorem [O. Bertolami, C. Gomes, 2014]

Inflationary dynamics [C. Gomes, O. Bertolami, J.G. Rosa, 2017]

Boltzmann equation [O. Bertolami, C. Gomes, 2020]

Jeans instability [C. Gomes, 2020]

...

## Gravitational waves in NMC theories

[Bertolami, Gomes, Lobo, Eur.Phys.J.C 78 (2018) 4, 303]

---

Linearised field equations around a Minkowskian background for  $\mathcal{L} \approx \text{const.}$ :

$$\begin{aligned} & (F_1 + 2F_2\mathcal{L}_m) \delta R_{\mu\nu} - \frac{1}{2}\eta_{\mu\nu}F_1\delta R - \frac{1}{2}h_{\mu\nu}f_1 \\ & - [\partial_\mu\partial_\nu - \eta_{\mu\nu}\square] (\delta f' + \delta h') = f_2\delta T_{\mu\nu} + F_2 T_{\mu\nu}\delta R . \end{aligned} \quad (8)$$

and from the trace equation:

$$3\square (\delta f' + \delta h') = \delta f + \delta h , \quad (9)$$

where the fluctuations:

$$\begin{aligned} \delta f &\equiv (F_1 - 2F_2\mathcal{L}_m + F_2 T) \delta R , & \delta h &\equiv f_2\delta T , \\ \delta f' &\equiv (F'_1 + 2F'_2\mathcal{L}_m) \delta R , & \delta h' &\equiv 2F_2\delta\mathcal{L}_m . \end{aligned} \quad (10)$$

Cosmological constant case,  $\mathcal{L} = -\Lambda$ :

$$\square(h_{\mu\nu} - \frac{1}{4}h\eta_{\mu\nu}) = \frac{f_1 - 2f_2\Lambda}{F_1 - 2F_2\Lambda}h_{\mu\nu}, \quad (11)$$

where the scalar mode was absorbed into the factor  $1/2 \rightarrow 1/4$  in the " $\Lambda$ " gauge:

$$\partial^\mu \left[ h_{\mu\nu} - \frac{1}{2}\eta_{\mu\nu}h - \eta_{\mu\nu} \left( \frac{\delta f' + \delta h'}{F_1 - 2F_2\Lambda} \right) \right] = 0. \quad (12)$$

This yields a solution of the form:

$$h_{\mu\nu} = A^+ e^{ik_\alpha x^\alpha} e_{\mu\nu}^+ + A^\times e^{ik_\alpha x^\alpha} e_{\mu\nu}^\times, \quad (13)$$

where  $A^+$  and  $A^\times$  are the amplitudes of the "plus" and "cross" polarisations, and  $e_{\mu\nu}^+$ ,  $e_{\mu\nu}^\times$  are the usual polarisation tensors. The dispersion relation reads:

$$k_\alpha k^\alpha \equiv \omega^2 - k^2 = \frac{f_1 - 2f_2\Lambda}{F_1 - 2F_2\Lambda} \quad (14)$$

And a propagating scalar mode:

$$\square\Omega = m_{\Omega}^2\Omega, \quad (15)$$

with

$$\Omega \equiv \frac{\delta f'}{F_1 - 2F_2\Lambda} = \frac{F_1' - 2F_2'\Lambda}{F_1 - 2F_2\Lambda}\delta R, \quad (16)$$

**Need for speed:**

- The "speed" of the gravitational wave (from the parametrisation  $\omega^2 = m_g^2 + c_{gw}^2 k^2 + a\frac{k^4}{\Delta}$ ) is constrained to  $c_{gw} \in [0.55, 1.42]$  [Yunes et al. 2016, Cornish et al 2017]. For these theories  $c_{gw} = 1$ .
- the group velocity  $v_g \equiv \frac{\partial\omega}{\partial k} \approx 1 - \frac{m_{gw}^2}{2k^2}$  is constrained to  $v_g \in [1 - 3 \times 10^{-15}, 1 + 7 \times 10^{-16}]$  [Abbott et al, 2017]. For these theories  $v_g \rightarrow 1^-$ .

Dark-energy-fluid case,  $\mathcal{L} = -\rho$ :

$$\square(h_{\mu\nu} - \frac{1}{4}h\eta_{\mu\nu}) = \frac{f_1 - 2f_2\rho}{F_1 - 2F_2\rho} h_{\mu\nu} , \quad (17)$$

where the scalar mode was absorbed into the factor  $1/2 \rightarrow 1/4$  in the " $\Lambda$ " gauge:

$$\partial^\mu \left[ h_{\mu\nu} - \frac{1}{2}\eta_{\mu\nu}h - \eta_{\mu\nu} \left( \frac{\delta f' + \delta h'}{F_1 - 2F_2\Lambda} \right) \right] = 0 . \quad (18)$$

This yields a solution of the form:

$$h_{\mu\nu} = A^+ e^{ik_\alpha x^\alpha} e_{\mu\nu}^+ + A^\times e^{ik_\alpha x^\alpha} e_{\mu\nu}^\times , \quad (19)$$

with:

$$k_\alpha k^\alpha \equiv \omega^2 - k^2 = \frac{f_1 - 2f_2\rho}{F_1 - 2F_2\rho} \quad (20)$$



Two scalar modes which can be decoupled into:

$$\square\omega_f = m_{\omega_f}^2\omega_f, \quad (21)$$

$$\square\omega_h = m_{\omega_h}^2\omega_h, \quad (22)$$

with

$$\omega_f \equiv \frac{\delta f'}{F_1 - 2F_2\rho} = \frac{F_1' - 2F_2'\rho}{F_1 - 2F_2\rho}\delta R, \quad (23)$$

and

$$\omega_h \equiv \frac{\delta h'}{F_1 - 2F_2\rho} = \frac{-2F_2}{F_1 - 2F_2\rho}\delta\rho. \quad (24)$$

## Newman-Penrose formalism

---

Complex null tetrad:

$$k = \frac{1}{\sqrt{2}} (e_t + e_z) , \quad l = \frac{1}{\sqrt{2}} (e_t - e_z) , \quad (25)$$

$$m = \frac{1}{\sqrt{2}} (e_x + ie_y) , \quad \bar{m} = \frac{1}{\sqrt{2}} (e_x - ie_y) , \quad (26)$$

which obey  $-k \cdot l = m \cdot \bar{m} = 1$  and  $k \cdot m = k \cdot \bar{m} = l \cdot m = l \cdot \bar{m} = 0$ , respectively.

Note that  $T_{abc\dots} = T_{\mu\nu\lambda\dots} a^\mu b^\nu c^\lambda \dots$ , where  $a, b, c, \dots$  are vectors of the null-complex tetrad basis  $(k, l, m, \bar{m})$ , whilst  $\mu, \nu, \dots$  run over the spacetime indices.

The Newman-Penrose quantities in the tetrad basis read  
 [Newman, Penrose, 1962]:

$$\Psi_0 \equiv C_{kmkm} = R_{kmkm}$$

$$\Psi_1 \equiv C_{klkm} = R_{klkm} - \frac{R_{km}}{2}$$

$$\Psi_2 \equiv C_{km\bar{m}l} = R_{km\bar{m}l} + \frac{R}{12}$$

$$\Psi_3 \equiv C_{kl\bar{m}l} = R_{kl\bar{m}l} + \frac{R_{l\bar{m}}}{2}$$

$$\Psi_4 \equiv C_{l\bar{m}l\bar{m}} = R_{l\bar{m}l\bar{m}}$$

$$\Phi_{00} \equiv \frac{R_{kk}}{2}$$

$$\Phi_{11} \equiv \frac{R_{kl} + R_{m\bar{m}}}{4}$$

$$\Phi_{22} \equiv \frac{R_{ll}}{2}$$

$$\Phi_{01} \equiv \frac{R_{km}}{2} = \Phi_{10}^* \equiv \left(\frac{R_{k\bar{m}}}{2}\right)^*$$

$$\Phi_{02} \equiv \frac{R_{mm}}{2} = \Phi_{20}^* \equiv \left(\frac{R_{\bar{m}\bar{m}}}{2}\right)^*$$

$$\Phi_{12} \equiv \frac{R_{lm}}{2} = \Phi_{21}^* \equiv \left(\frac{R_{l\bar{m}}}{2}\right)^*$$

$$\tilde{\Lambda} \equiv \frac{R}{24},$$

NP quantities built from the decomposition of the Riemann Tensor in terms of irreducible parts: Weyl tensor, Ricci tensor and scalar curvature.

In **GR**, only  $\Psi_4$  is nonzero  $\rightarrow$  polarisations  $+$  and  $\times$

In **NMC with c.c.** other scalar and vector modes are also possible ( $\Phi_{00}, \Phi_{11}, \Phi_{22}, \tilde{\Lambda} \neq 0$ ), but full characterisation only when the full solution is known (needed for the  $\Psi_i$ ).

## Conclusions:

---

- In the far-field (no matter): NMC becomes pure  $f(R)$ ;
- Other regions: matter  $\rightarrow$  so NMC plays a role -  $\Lambda$ , DE-like fluid;
- Extra longitudinal modes (highly non-trivial!)

$$\omega = \omega(\delta R, \delta \mathcal{L}) \quad (27)$$

which can decouple into two independent modes, under certain conditions.

- Beyond linear level one has to implement the Newman-Penrose formalism (decomposition of the Riemann tensor into its irreducible parts): extra polarisation modes appear.
  
- Ongoing work: Analysis of GW solutions from other modified gravity models, and some improvements for non-minimal couplings with matter sector.

# The Story Untold

---

- In GR, both metric and "Palatini" approaches lead to the same field equations, and polarisation modes. However, for alternative theories of gravity, this is not the case.
  - metric  $f(R)$  theories may present up to six polarisation modes.
  - "Palatini"  $f(R)$  only exhibits two tensor modes.
- When matter is included: do matter fields feel the connection built from metric field or the independent connection (e.g. fermions)? Three approaches: metric, Palatini and metric-affine.
- Take home message: matter matters!

